

Atty Dkt 8325-0014  
S14-US1  
PATENT

*sector B*  
*Box Sequence*

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 01-OCTOBER-2001.

Date

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE & COLLINGWOOD

Confirmation No.: 9058

Serial No.: 09/844,508

Group Art Unit: Unassigned

Filing Date: April 27, 2001

Examiner: Unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated June 29, 2001. Transmitted herewith for filing are the following documents:

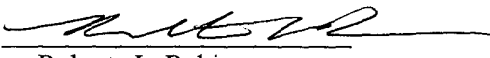
1. a copy of the Notice to File Missing Parts
2. Declaration signed by the inventors, with Letters of Administration for Elizabeth J. Wolffe.
3. Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account.
4. Petition for a One-Month Extension of Time
5. Small Entity Determination
6. Power of Attorney by Assignee
7. Certificate Under 37 CFR 3.73(b)
8. Sequence Listing on diskette and in paper copy
9. Statement to Support Filing and Submission in Accordance With 37 C.F.R. §§ 1.821-1.825.

Applicants claim small entity status. Also enclosed is a check in the amount of \$1000 (surcharge, \$65; statutory basic filing fee, \$370; extension of time, \$55; additional claim fees, \$510) to cover the fees required.

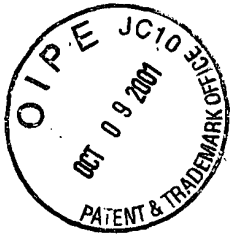
The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 10/1/01

By:   
Roberta L. Robins  
Registration No. 33,208

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Fax: (650) 325-7823



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 01-OCTOBER-2001.

Date 10/1/01

Signature [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE & COLLINGWOOD

Confirmation No.: 9058

Serial No.: 09/844,508

Group Art Unit: Unassigned

Filing Date: April 27, 2001

Examiner: Unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

**BLANKET PETITION FOR EXTENSION OF TIME AND  
AUTHORIZATION TO CHARGE OR CREDIT DEPOSIT ACCOUNT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

If a paper is untimely filed in this application or any file wrapper continuation application derived therefrom by applicant(s) or her/his/their representative, the Commissioner is hereby petitioned under 37 C.F.R. § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 C.F.R. § 1.17(a)-(d) to Deposit Account No. 18-1648. This, however, is not authorization to pay the issue fee.

If a paper is concurrently or subsequently filed in this application or any file wrapper continuation application derived therefrom by applicant(s) or her/his/their representative and a fee under 37 C.F.R. §§ 1.16-1.17 is required to effect any amendment, petition or other action requested in said paper, the Commissioner is hereby requested to charge any deficiency in said fee, or credit any overpayment of said fee, to Deposit Account No. 18-1648. This, however, is not authorization to pay the issue fee.

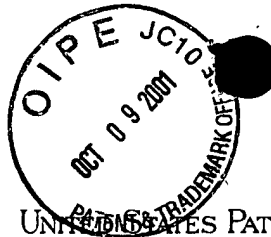
Respectfully submitted,

Date: 10/1/01

By: [Signature]  
Roberta L. Robins  
Registration No. 33,208

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Fax: (650) 325-7823

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,508	04/27/2001	Alan P. Wolfe	8325-0015

CONFIRMATION NO. 9058

## FORMALITIES LETTER



\*OC000000006245007\*

20855  
ROBINS & PASTERNAK LLP  
90 MIDDLEFIELD ROAD  
SUITE 200  
MENLO PARK, CA 94025

Date Mailed: 06/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 HTECKLU1 00000066 09844508

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 468.00 OP  
04 FC:202 42.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1016.
  - \$936 for 52 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1856.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*



---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE